

RECEIPT \$  
#2

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/281,365	
	Filing Date	March 30, 1999	
	First Named Inventor	Darren D. Neuman	
	Group Art Unit	2747	
	Examiner Name	N/A	
Total Number of Pages in This Submission	5	Attorney Docket Number	5201-19401

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request for Corrected Filing Receipt, and Copy of Original Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Daniel J. Krueger	Regist. No. 42,771
	Conley, Rose & Tayon, P.C., PO Box 3267, Houston, TX 77253-3267	Tel. 713-238-8000
Signature		
Date	5/3/99	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 3, 1999			
Typed or printed name	Cynthia M. Huth		
Signature		Date	May 3, 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

k:\Usi\19401\pto trans



# FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$ ) 25.00

## Complete if Known

Application Number	09/281,365
Filing Date	March 30, 1999
First Named Inventor	Darren D. Neuman
Examiner Name	N/A
Group / Art Unit	2747
Attorney Docket No.	5201-19401

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

Conley, Rose & Tayon, P.C.

03-2769

- ☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

101 760 201 380 Utility filing fee

106 310 206 155 Design filing fee

107 480 207 240 Plant filing fee

108 760 208 380 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES

Total Claims	*	-20**	=	*	X	Fee from below	=	Fee Paid
Independent Claims	*	-3**	=	*	X	*	=	*
Multiple Dependent						00.00	=	00.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 78 202 39 Independent claims in excess of 3

104 260 204 130 Multiple dependent claim, if not paid

109 78 209 39 \*\* Reissue independent claims over original patent

110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge - late provisional filing fee or cover sheet.

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for reexamination

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner action

115 110 215 55 Extension for reply within first month

116 380 216 190 Extension for reply within second month

117 870 217 435 Extension for reply within third month

118 1,360 218 680 Extension for reply within fourth month

128 1,850 228 925 Extension for reply within fifth month

119 300 219 150 Notice of Appeal

120 300 220 150 Filing a brief in support of an appeal

121 260 221 130 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,210 241 605 Petition to revive - unintentional

142 1,210 242 605 Utility issue fee (or reissue)

143 430 243 215 Design issue fee

144 580 244 290 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 240 126 240 Submission of Information Disclosure Stmt

581 40 581 40 Recording each patent assignment per property (times number of properties)

146 760 246 380 Filing a submission after final rejection (37 CFR 1.129(a))

149 760 249 380 For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) Request for Corrected Filing Receipt

\$25.00

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 25.00

## SUBMITTED BY

Typed or  
Printed Name Daniel J. Krueger

Signature

Date

5/3/99

## Complete (if applicable)

Reg. Number 42,771

Deposit Account

User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Darren D. Neuman.  
 Serial No.: 09/281,365  
 Filed: March 30, 1999  
 For: Audio Calibration System

Group No.: 2747  
 Examiner: N/A



Assistant Commissioner for Patents  
 Washington, D. C. 20231

## REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

- |    |                                     |                             |
|----|-------------------------------------|-----------------------------|
| 1. | <input type="checkbox"/>            | Applicant's name            |
| 2. | <input type="checkbox"/>            | Applicant's address         |
| 3. | <input type="checkbox"/>            | Title                       |
| 4. | <input type="checkbox"/>            | Filing Date                 |
| 5. | <input type="checkbox"/>            | Serial Number               |
| 6. | <input type="checkbox"/>            | Foreign/PCT Application re: |
| 7. | <input checked="" type="checkbox"/> | Other                       |

- |    |   |
|----|---|
| 1. |   |
| 2. |   |
| 3. |   |
| 4. |   |
| 5. |   |
| 6. |   |
| 7. | Attorney Docket No. should read 5201-19401. |

RECEIVED  
 99 MAY 20 AM 9:19  
 GROUP 2700

## CERTIFICATION OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Date:

May 3, 1999

## FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Cynthia M. Heath  
 Cynthia M. Heath  
 (type or print name of person certifying)

(complete the following applicable item)

3.

A. ☐ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

b. ☒ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is a check for \$25.00.

☒ Charge Account 03-2769 \$25.00.



SIGNATURE OF ATTORNEY

Daniel J. Krueger

Reg. No. 42,771

CONLEY, ROSE & TAYON, P.C.

P. O. Box 3267

Houston, Texas 77253-3267

Phone: (713) 238-8000

Fax: (713) 238-8008

K:\lsi\19401\filing receipt correction form

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/281,365	03/30/99	2747	\$988.00	5201-19400	11	24	5

19401

✓ DANIEL J KRUEGER  
CONLEY ROSE & TAYON  
P O BOX 3267  
HOUSTON TX 77253-3267

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

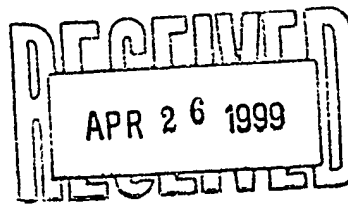
DARREN D. NEUMAN, SAN JOSE, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/20/99

TITLE

AUDIO CALIBRATION SYSTEM

PRELIMINARY CLASS: 381



RECEIVED  
99 MAY 20 AM 9:19  
GROUP 2700

DATA ENTRY BY: JACKSON, MINNIE

TEAM: 04 DATE: 04/20/99

